

### Research Report – Mouse Model (also applies for other animal models)

To Dean's Office of the Medical Faculty Transfer Department Sarah Wittlich Joseph-Stelzmann-Str. 20 50931 Köln To be completed by university administration only

Date of Invention Disclosure:

Submission of complete document on:

Note of incompleteness on:

## med-transfer@uni-koeln.de

# Only send in sealed envelope with a note that it must only be opened by addressee!

#### Content:

Signed and as PDF:

- Part A: General information about the mouse model
- Part B: Information about the originator(s)
- Part C: Description of the mouse model

Part D: Description of the market

Part E: Declaration of the originator(s)

Part F: Confirmation of the university

Part G: Assignment PROvendis

#### Part A: General infromation about the mouse model

#### [A1] Abbreviated designation of the mouse model

[A2] Brief description of the mouse model

[A3] When was the mouse model generated?

[A4] Has a patent been filed for the mouse model?

□ Yes

#### [A5] How many persons contributed to the generation of the mouse model? \_

Please additionally complete B1 to B8 for each person!

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#### Part B: Information about the originators

Please copy this page for each person who participated in the generation of the mouse model, and fill out <u>completely</u>.

| [B1] Name and address            |  |
|----------------------------------|--|
| Family name                      |  |
| First name                       |  |
| Nationality                      |  |
| Occupational title               |  |
| Official position                |  |
| Department/Institute/Comp<br>any |  |

| Business address |  |
|------------------|--|
| Phone            |  |
| Fax              |  |
| Email            |  |

| Private address |  |
|-----------------|--|
| Phone           |  |
| Fax (if any)    |  |
| Email           |  |

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| [B2] My contribution to the generation of the mouse model was% of  | i all originators. |  |  |  |  |
|--|--------------------|--|--|--|--|
| [B3] The mouse model is related to my working field:   | □ Yes □ No         |  |  |  |  |
| [B4] The mouse model was generated in the context of my labour contract:   | 🗆 Yes 🗆 No         |  |  |  |  |
| [B5] The generation of the mouse model is significantly based on know-how and experience of the university (Department/Institute): □ Yes □ No  |                    |  |  |  |  |
| [B6] The mouse model was generated as a result of instruction:   | □ Yes □ No         |  |  |  |  |
| If yes, who has given the instructions?  | _                  |  |  |  |  |
| [B7] The generation of the mouse model was generated as part of my<br>Doctoral-/Master-thesis (or any similar study work): □ Yes □ No  |                    |  |  |  |  |
| [B8] Have third-party funds been used for the generation of the mouse mod  | el?                |  |  |  |  |
| <ul> <li>[B8-1] □ The mouse model was generated as part of a public funded project:</li> <li>□ DFG □ BMBF □ EU □ Other:</li> <li>□ My job has been financed from these project funds.</li> <li>□ I know the purpose of the project.</li> </ul> |                    |  |  |  |  |
| <ul> <li>[B8-2] □ The mouse model was generated in collaboration with partners:</li> <li>□ Partner:</li> <li>□ My job has been financed from this collaboration.</li> <li>□ I know the purpose of the collaboration.</li> </ul>                |                    |  |  |  |  |



|         | ouse strain                          |                  |            |                     |      |
|---------|--------------------------------------|------------------|------------|---------------------|------|
|         | Genetic background :                 |                  |            |                     |      |
|         | Origin :                             |                  |            |                     |      |
|         | Number of generations                |                  |            |                     |      |
|         | Is it an inbreed strain?             |                  |            | Yes □               | No 🗆 |
| [C2] Pr | odcution                             |                  |            |                     |      |
|         | □ Targeted mutation                  |                  |            |                     |      |
|         | Target gene:                         |                  |            |                     |      |
|         | Embryonic stem cell line:            |                  |            |                     |      |
|         | Has any of the following metho       | ds been used?    |            |                     |      |
|         | Cre-lox                              | FLP 🗆            | Tet 🗆      |                     |      |
|         | □ Transgene                          |                  |            |                     |      |
|         | Transgene:                           |                  |            |                     |      |
|         | Promoter:                            |                  |            |                     |      |
|         | Founder-line:                        |                  |            |                     |      |
|         | □ Induced Mutation (transgen         | e insertion, gen | e trap, cł | nemical, radiation) |      |
|         |                                      | •                |            |                     |      |
|         |                                      |                  |            |                     |      |
| C31 Pł  | nenotype                             |                  |            |                     |      |
|         |                                      |                  |            |                     |      |
|         | Description of the mutation          | 🗆 densinent      |            | 🗆 eeni deminent     |      |
|         | □ recessive<br>Phenotype             | □ dominant       |            | □ semi-dominant     |      |
|         | Are the homozygous m                 | ice viable?      |            | Yes □               | No 🗆 |
|         | Are the homozygous mice fertile? Yes |                  | Yes □      | No 🗆                |      |
| [C4] Ke | eeping of the mice                   |                  |            |                     |      |
|         | What ist he current breeding m       | ethod?:          |            |                     |      |
|         | (example: heterozygous * home        |                  |            |                     |      |
|         | Are special conditions for keep      | ing necessary?   |            | Yes 🗆               | No 🗆 |
|         | If yes, please specify:              |                  |            |                     |      |
|         | Feeding:                             |                  |            |                     |      |
|         |                                      |                  |            |                     |      |
| C51 Id  | entification of the mutation         |                  |            |                     |      |

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| If yes, which method?  |               | □ Southern blot | □ other:   |
|--|---------------|-----------------|------------|
| <b>[C6] Health profile</b><br>Is there a routine monitorin<br>health and genetic status? | g of the      |                 | Yes 🗆 No 🗆 |
| If yes, please specify:  |               |                 |            |
| For which pathogens have   | the mice bee  | en tested?      |            |
| Are the mice kept under SF   | PF-conditions | ?               | Yes 🗆 No 🗆 |
| Ist he stable/are the cages  | sterilized?   |                 | Yes 🗆 No 🗆 |
| Is the food pasteurized/ster   | ilized?       |                 | Yes 🗆 No 🗆 |

[C7] Descripton of the mice (phenotype/appearance)

[C8] References

#### [C9] The following documents are provided as attachments to this report:



#### [C10] Please characterize the mouse model by key words:

English

German (if possible)

[C11] Publications concerning the mouse model

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#### Part D: Description of the market

[D1] Do you consider to use the mouse model in an own company foundation?

□ Yes □ No

[D2] For which application is your mouse model eligible?

[D3] Which company should be considered as licensee (or other form of exploitation)?

[D4] Which companies do you already have contact with?

[D5] Which persons or customers would have benefit from the mouse model?

[D6] How do you rate the market potential of the mouse model:

🗆 very high

🗆 high

□ average □ low

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#### Part E: Declaration of the originators

I/we agree with the transmission of my/our private data (such as private address, nationality) for marketing the mouse model.

I/we assure that the information made hereinbefore is complete and true, that I/we have contributed to the development of the mouse model, and that to our best knowledge no further person besides those mentioned herein were involved in the development of the mouse model.

| · · · · · · · · · · · · · · · · · · · |
|---------------------------------------|
|                                       |
|                                       |
| (Signature)                           |
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| (Signature)                           |
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| (Signature)                           |
|                                       |

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#### Part F: Confirmation of the university

| [F1] The originator(s) is/are employees of the university: |            |             |       |      |
|--|------------|-------------|-------|------|
|  | First name | Family name |       |      |
| 1.   |            |             | □ Yes | □ No |
| 2.   |            |             | □ Yes | □ No |
| 3.   |            |             | □ Yes | □ No |
| 4.   |            |             | □ Yes | □ No |
| 5.   |            |             | □ Yes | □ No |
| 6.   |            |             | □ Yes | □ No |

#### [F2] Third party's rights have to be considered:

If yes, the following third party's rights need to be considered:

The following contracts/agreements concerning these rights are attached in copy:

(Date)

(Name)

(Signature)



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#### Part G: Assignment of PROvendis

The university herewith assigns PROvendis to review the aforementioned mouse model, and in case of a positive evaluation with its commercialization.

Comments:

Date

Place

Signature

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